



# ACCUME AT A GLANCE

## Local Presence with Industry Depth

- Founded in 1994, Accume Partners provides internal audit & advisory services, risk management to over 450 organizations, of those, over 400 financial services institutions – mostly long-term, recurring, and annuity partnerships. **Partnership Matters.**
- Corporate headquarters in New York, NY and other operational offices in Connecticut, New York (NYC and Albany), Massachusetts, New Jersey, Pennsylvania (Philadelphia and Pittsburgh), Maryland, Washington D.C. and North Carolina.
- Hands-on, collaborative client service philosophy with an excellent reputation built over the last 23 years with clients, vendors and regulators.
- 100+ professional staff in Northeast – most have 15 years' experience with Big 4 accounting, consulting, or regulatory backgrounds.
- All Accume professional staff are required to receive a minimum of 40 hours of Continuous Professional Education (CPE) training per year. Examples of the certifications include: AAP, CPA, CAMS, CIA, CISSP, CFE, CISA, CISSP, CGEIT, CRISC, CFSA, CFRS, CRCM and CEH.

## Value Proposition

- Professionals with significant banking, consulting and regulatory experience
- “Regulator-tested,” risk-based methodologies, work-papers and reporting
- Perpetual regulatory updates
- Consistent, transparent and proactive communication protocol
- Internal knowledge sharing and training
- Quality assurance initiatives
- Competitive pricing

## Services Overview

Accume has a firm-wide focus on the banking and financial services industry and offers dedicated practices and customized solutions in the following service areas:

- Internal Audit
- Regulatory Compliance
- Technology Risk Management
- Dedicated Cybersecurity Practice and Toolset
- Incident Response Planning and Cyber-Forensic Team
- FFIEC Compliance Software (Risk Director)

## Internal Audit Services

Accume's experienced audit professionals deal with the challenges of an ever-changing regulatory landscape and understand the impact that a bank's internal control environment can have on growth and profitability.

Through our extensive experience with internal controls, internal audit, IT audit, fraud investigation and working with regulators and external auditors, clients benefit from a level of knowledge that is difficult, if not impossible, to duplicate in-house.

Our audit professionals help financial institutions achieve sustainable, efficient and effective internal audit functions optimized for risk mitigation and the maximum exploitation of cost-saving and revenue-generating opportunities.

Internal Audit Services include:

- Financial Audit
- Operational Audit
- Compliance Audit
- Information Technology Audit

## Regulatory Compliance Services

Our compliance team is comprised of former compliance officers, regulatory examiners and specialists.

We work with clients to prevent potential problems, correct existing issues and proactively provide guidance to efficiently stay ahead of the rapidly changing regulatory landscape.

Regulatory Compliance Services include:

- Compliance Program Administration
- Compliance risk assessment to determine a bank's specific compliance needs
- Development of a tailored compliance program, including policies and procedures
- Implementation of an institution-wide compliance program
- Ongoing administration of the compliance program
- Compliance Co-Sourcing and Support
- Addresses a shortage of staff and/or required specialized compliance professionals
- Seasoned professionals able to provide on-demand resources, without the need to hire full-time staff
- Regulatory Compliance Audit
- Assistance with planning, developing and/or executing a bank's compliance-related audits
- Full outsource solution or support resource for a bank's existing audit function
- Compliance audit services are customized to meet a bank's unique needs
- Compliance Consulting
- Full range of consulting services
- Support for a bank's entire compliance program or just guidance on specific areas

## Technology Risk Management Services

Accume has built a Technology Risk Management (TRM) services practice that challenges the conventional approaches to IT risk management. Our focus is on delivering solutions that not only assess risk, but also mitigate risk and help solve IT risk problems. The Accume team is a diverse mix of highly accomplished professionals from a variety of backgrounds in both the public and private sectors who came together to create and deliver solutions.

Technology Risk Management Services include:

- Technology Internal Audit
- Cybersecurity Assessments and Compliance
- Technology Compliance Management (FFIEC, SOX, GLBA, HIPAA)
- Third-Party Vendor Assessments
- Disaster Recovery and Continuity Management
- Security and Privacy Management
- Vulnerability Assessment and Penetration Testing
- Risk Measures and Monitoring
- BSA/AML System Validations
- Policies / Procedures / Guidelines

For more information, call

**888.696.1515**

Please visit us at

**ACCUMEPARTNERS.COM**